5703 Rec'd PCT/PTO 2 5 FEB 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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| | MITTAL LETTER TO SNATED/ELECTED | DFEI-1-1001 | | | | | | | | |
|--|--|--|---------------------------------------|--|--|--|--|--|--|--|
| | NING A SUBMISSION | U.S. APPLICATION OF HOUSE 46 30 CFR 1.5) | | | | | | | | |
| INTERNATIONAL PCT/CA2003/ | APPLICATION NO. 001278 | INTERNATIONAL FILING DATE AUGUST 21, 2003 | PRIORITY DATE CLAIMED August 29, 2002 | | | | | | | |
| TITLE OF INVENTION | | | | | | | | | | |
| Electrode for Photovoltaic Cells, Photovoltaic Cell and Photovoltaic Module APPLICANT(S) FOR DO/EO/US | | | | | | | | | | |
| Leonid B. Rubin and George L. Rubin Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| | | | | | | | | | | |
| 4. The US | The US has been elected (Article 31). | | | | | | | | | |
| 5. X A copy | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| а. 🛚 🗓 | a. X is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. X | b. X has been communicated by the International Bureau. | | | | | | | | | |
| c. [| c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. X An En | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| a. <u>X</u> | is attached hereto. | | | | | | | | | |
| b. [| has been previously subm | itted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. X Amend | iments to the claims of the inte | ernational Application under PCT Article 19 (| (35 U.S.C. 371(c)(3)) | | | | | | | |
| a. L | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| ь. [| have been communicated | d by the International Bureau. | | | | | | | | |
| c. L | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. L | d. X have not been made and will not be made. | | | | | | | | | |
| 8. An Er | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. X An oat | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11 to 2 | 0 below concern document(| s) or information included: | | | | | | | | |
| 11. An Info | ormation Disclosure Statemen | t under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. X An ass | signment document for recordi | ing. A separate cover sheet in compliance wi | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. A preli | minary amendment. | | | | | | | | | |
| 14. | plication Data Sheet under 37 | CFR 1.76. | | | | | | | | |
| 15. A subs | stitute specification. | | | | | | | | | |
| 16. X A pow | er of attorney and/or change o | of address letter. | | | | | | | | |
| 17. A com | puter-readable form of the sec | quence listing in accordance with PCT Rule | 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. A seco | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. A seco | and copy of the English langua | age translation of the international application | n under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. X Other i | Other items or Information: ISR; Recordation Form Cover Sheet; Statement re Small Entity Status; Postcard | | | | | | | | | |

This collection of Information is required by 37 CFR 1.414 and 1.491-1.492. The Information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATI | ION NO. (if known | 5923 | PCT/CA2003/001278 | | ATTORNEY'S DOCKET NUMBER DFEI-1-1001 | | | | | | |
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| & | Urse | ンタムラ | | | | | | | | | |
| The following fees have been submitted | | | | | | CALCULATIONS PTO USE ONLY | | | | | |
| 21. X Basic national fee\$300 | | | | | | 300 | | | | | |
| If International pi PCT Article 33 | nination fee reliminary examina 3(1)-(4) | \$ | | | | | | | | | |
| 23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | | 400 | | | | | |
| | TOTAL OF 21, 22 e for specification | \$ | 900 | ļ | | | | | | | |
| ☐ sequence lis | sting or computer 250 for each addit | | | | | | | | | | |
| Total Sheets | Extra Sheets | | dditional 50 or fraction to a whole number) | RATE | | | | | | | |
| 31 - 100 = | 0 /50 = | | | x \$250 | \$ | 0 | 1 | | | | |
| | 0.00 for furnishing ate (37 CFR 1.49) | \$ | | | | | | | | | |
| CLAIMS | NUME | BER FILED NUMBER EXTRA | | RATE | \$ | | | | | | |
| Total claims | ŀ | 32 - 20 = | 12 | x \$ 50 | \$ | 600 | | | | | |
| Independent clair | ms | 3 - 3 = | 0 | x \$200 | \$ | 0 | | | | | |
| MULTIPLE DEPE | ENDENT CLAIM(S | S) (if applicable) | | + \$360 | \$ | 360 | | | | | |
| | | | TOTAL OF ABOVE | CALCULATIONS = | \$ | 1,860 | 1 | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | 930 | | | | | |
| | | | | SUBTOTAL = | \$ | 930 | | | | | |
| | f \$130.00 for furni ate (37 CFR 1.49 | \$ | | | | | | | | | |
| | TOTAL NATIONAL FEE = | | | | | | \$ 930 | | | | |
| _ | the enclosed ass cover sheet (37 | \$ 40 | | | | | | | | | |
| | | | TOTAL F | EES ENCLOSED = | \$ 970 | | | | | | |
| | | | Amount to be refunded: \$ | | | | | | | | |
| | | | | | Amou | ınt to be jed: | \$ | | | | |
| a. X Checks | | | | | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 501050 . A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | |
| | BLACK, ESC | 1/7 | 1-12gm | | | | | | | | |
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| UNITED ST. | ATES OF AM | ON NU | MBER | | | | | | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Leonid B. Rubin

Attorney Docket No. DFEI-1-1001

and George L. Rubin

Filing Date:

February 25, 2005

Title:

ELECTRODE FOR PHOTOVOLTAIC CELLS, PHOTOVOLTAIC CELL

AND PHOTOVOLTAIC MODULE

TRANSMITTAL LETTER CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

Enclosed with this transmittal letter are the following papers:

- 1. Transmittal Letter Concerning a Submission Under 35 U.S.C. 371 (2 sheets);
- 2. Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304) (1 sheet);
- 3. Copy of Published Application and International Search Report (Application comprising 18 pages of written description and 13 drawing sheets);
- 4. Check No. 13317 in the amount of \$930;
- 5. Verified Assertion Claiming Small Entity Status Under 37 CFR 1.27 (2 sheets);
- 6. Executed Declaration (3 sheets);
- 7. Executed Power of Attorney (2 sheets);
- 8. Statement Under 3.73(b) (1 sheet);
- 9. Executed Assignment and Assignment Recordation Form Cover Sheet (4 sheets);
- 10. Check No. 13318 in the amount of \$40 (Assignment Recordation fee); and
- 11. Return postcard.

Respectfully submitted,

BLACK LOWE & GRAHAMPILE

Mark D. Byrne

Registration No. 50,125

Direct Dial: 206.381.3300

EXPRESS MAIL CERTIFICATE

I hereby certify that this communication is being deposited with the United States Postal Service via Express Mail No. EV510340841US under 37 C.F.R. § 1.10 on the date indicated below addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BLACK LOWE & GRAHAM PLLO

CUSTOMER NUMBER

- 1 -

701 Fifth Avenue, Suite 4800 Seattle, Washington 98104 206.381.3300 • F: 206.381.3301

DFEI-1-1001T01